

12-31-02

Gp/1775

Practitioner Docket No.: 789\_071

PATENT

#8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

Shuhei ISHIKAWA, Tsutomu MITSUI, Ken SUZUKI,  
Nobuaki NAKAYAMA, Hiroyuki TAKEUCHI and Seiji  
YASUI

Ser. No.: 09/913,353

Art Unit: 1775

Filed: August 13, 2001

Examiner: Turner, A.

Confirmation No.: 8579

For: HEAT SINK MATERIAL AND METHOD OF PRODUCING THE SAME

Assistant Commissioner for Patents  
Washington, DC 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on December 30, 2002 under "EXPRESS MAIL" mailing label number EV 196642868 US.

*Tara L. Preston*  
Tara L. Preston

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Japanese Office Action bearing a mailing date of October 29, 2002 from a corresponding Japanese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

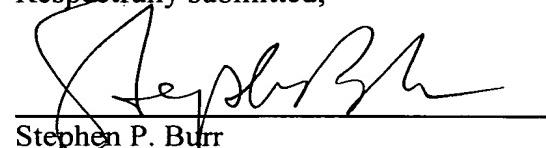
Applicants respectfully submit that Reference AW corresponds to Reference AX and Reference AZ corresponds to Reference AY.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this

application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
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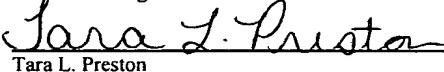
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**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**

Sir:

- Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

- No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

  
Stephen P. Burr  
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December 30, 2002  
Date

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